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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/824,983

04/15/2004

G. Ian Rowlandson

039199-9555-00

CONFIRMATION NO. 7541

31058 MICHAEL BEST & FRIEDRICH LLP 100 EAST WISCONSIN AVENUE MILWAUKEE, WI 53202-4108

CONFIRMATION CONFIRMATION RECEIVED

OC000000013085963

JUL 02 2004

DOCKETING MILWAUKEE, WI

Date Mailed: 06/28/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

\$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

08/02/2004 MAHMED1 00000055 502401

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A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

| Please type a plus sign (+ Nnsid | | | | | | rtment of Commerce | |
|--|--------------|---|------------------------|---|---|--------------------|--|
| 0001/PTO U.S Department of Commerce | | | Application Number | | 10/824,983 | | |
| Rev. 10/95 JUL 3 0 2004 Patent and Trademark Office | | | Filing Date | | 04/15/2004 | | |
| TRANSMETAL FORM | | | First Named Inventor | | G. Ian | Rowlandson | |
| (to be used for all contespondence after initial filing | | | Group Art Unit | | | | |
| | | | Examiner Name | | 4.40.406 | NT (5004 0045 !) | |
| Total Number of pages in thi | s Submission | 8 | Attorney Docket Number | | 146462 | 2IT (5024-00124) | |
| | | | | | | | |
| ENCLOSURES (check all that apply) | | | | | | | |
| ☑ Fee Transmittal Form ☐ Fee Attached | | Assignment Papers (for an Application) | | | | | |
| ☐ Amendment/Response ☐ After final | □ Dra | awing(s) | | | opeal Communication to pard Of Appeals and | | |
| ☐ Extension of Time Requ | | ☐ Licensing-related Papers | | Interferences | | | |
| ☐ Express Abandonment Request | □ Pe | ☐ Petition Checklist and Accompanying Petition ☐ To Convert a Provisional Application | | □ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) □ Proprietary Information | | | |
| ☐ Information Disclosure Statement/PTO-1449 | | | | | | | |
| ☐ Certified Copy of Priorit | y R | ☐ Power of Attorney, Revocation, Change of | | | ☐ Status Letter | | |
| Document(s) | | Correspondence Address □ Terminal Disclaimer | | ☑ Additional Enclosure(s) (Please identify below) | | | |
| ☒ Response to Missing P Incomplete Application ☐Response to Missing Parts Under 37 1.52 c | | rminal Dis | sclaimer | Re | eturn recei | pt postcard | |
| | Remar | ks . | | | | | |
| | | | | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Joseph D. Kuborn, Reg. No. 40,689 Or ANDRUS, SCEALES, STARKE & SAWALL, LLP Individual Name 100 East Wisconsin Avenue, Suite 1100, Milwaukee, WI 53202 | | | | | | | |
| Signature Signature | | | | | | | |
| Date July 27, | 2004 | | | | | | |
| | | | | | | | |
| | | | OF MAILING | | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date: | | | | | | | |
| July 27, 2004 | | | | | | | |
| Typed or printed name Barbara A. Johnson | | | | | | | |
| Signature Barbara a Johnson Date 7/27/2004 | | | | | | 7/27/2004 | |
| | | - 7 | | | | | |

User ID

Approved for use through 9/30/98 elent and Trademark Office: U.S. DEPARTMENT OF COMMERCE COMPLETE IF KNOWN PTO/SB/17 (10/97)10/824,983 Application Number **FEE TRANSMITTAL** Filing Date 04/15/2004 First Named Inventor G. Ian Rowlandson Group Art Unit Examiner Name Total Amount of Payment (\$) 130.00 Attorney Docket Number 146462IT (5024-00124) METHOD OF PAYMENT (check one) FEE CALCULATION (continued) ☑ The Commissioner is hereby authorized to charge Additional Fees indicated fees and credit any over payments to: Large Entity Small Entity Fee Fee Fee Fee Deposit 50-2401 Account Code (\$) Fee Description Code (\$) Fee Paid Number 105 205 130 65 Surcharge-late filing fee or oath Surcharge-late provisional filing fee Deposit 127 50 227 Account Andrus, Sceales, Starke & Sawall, LLP or cover sheet Non-English specification Name 139 130 139 130 For filing a request for reexamination 147 2,520 147 2,520 □ Charge any additional ☐ Charge the Issue Fee 112 920* 112 920* Requesting publication of SIR prior to fee required under 37 set in 37 CFR 1.18 at Examiner action CFR 1.16 and 1.17 the Mailing Of the 113 1,840* 113 1,840* Requesting publication of SIR after Notice of Allowance, Examiner action 37 CFR 1.311(b) 115 110 215 55 Extension for response within first ☐ Payment Enclosed: month ☐ Check ☐ Money Order Other 116 400 216 200 Extension for response within second FEE CALCULATION (fees effective 10/01/97) month 117 950 217 475 Extension for response within third Filing Fee Large Entity Small Entity month 118 1,510 218 Fee Fee Fee Fee 755 Extension for response within fourth month Code (\$) Code (\$) 128 2,060 228 1,030 Extension for response within fifth month 101 740 201 370 Utility filing fee 119 310 219 155 Notice of appeal 106 330 206 165 Design filing fee 120 310 220 155 Filing a brief in support of an appeal 107 480 207 240 Plant filing fee Request for oral hearing 121 270 221 135 108 760 208 380 Reissue filing fee 138 1,510 138 1,510 Petition to institute a public use Provisional filing fee 114 150 75 214 proceeding SUBTOTAL (1) (\$) 0 140 110 240 Petition to revive unavoidably 55 abandoned application 141 1,320 241 660 Petition to revive unintentionally 2. Claims Fee from Fee Paid Extra abandoned application below 142 1,320 242 660 Utility issue fee (or reissue) Total claims ____- 20=_ 450 243 143 225 Design issue fee Independent 144 670 244 335 Plant issue fee Claims 122 130 122 130 Petitions to the Commissioner Multiple Dependent 123 50 123 50 Petitions related to provisional Claims applications Large Entity Small Entity Fee 126 240 126 240 Submission of Information Disclosure Fee Fee Fee Fee Description Statement Code (\$) Code (\$) 581 40 581 Recording each patent assignment per property (times number of 203 9 Claims in excess of 20 103 18 78 202 Independent claims in properties 102 39 146 790 246 395 Filing a submission after final rejection excess of 3 130 Multiple dependent claim (37 CFR 1.129(a)) 260 204 104 149 790 249 395 For each additional invention to be 109 78 209 Reissue independent examined (37 CFR 1.129(b)) claims over original patent Other fee (specify) ____ 110 19 210 Reissue claims in excess of 20 and over original patent Other fee (specify) (\$) 0 SUBTOTAL (3) SUBTOTAL (2) (\$) 130.00 *Reduced by Basic Filing Fee Paid SUBMITTED BY COMPLETE (if applicable) Joseph D. Kuborn Type or Registration Number 40,689 Printed name Andrus, Sceales, Starke & Sawall, LLP 7/27/2004 Deposit Account

Signature

Reply to Notice to File Missing Parts dated June 28, 2004



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Application Of: |) |
|-------------------------------|---|
| G. IAN ROWLANDSON ET AL |) |
| Application No.: 10/824,983 |) |
| Filed: 04/15/2004 |) |
| Group Art Unit: |) |
| Examiner: |) |
| SYSTEM AND METHOD FOR |) |
| ASSESSING A PATIENT'S RISK OF |) |
| SUDDEN CARDIAC DEATH |) |

SUBMISSION OF DECLARATION

Mail Stop MISSING PARTS COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Responsive to the Notice to File Missing Parts of Nonprovisional Application, Filing Date Granted mailed June 28, 2004 enclosed are the following documents/items.

- 1. An executed declaration in this application executed by the inventors. The declaration includes the names and residences of the inventors. In accordance with the Commissioner's announcement in 1035 OG 3, the undersigned registered attorney states that Application Serial No. 10/824,983 is the application that the inventors executed by signing the attached declaration.
- 2. Please charge the surcharge of \$130.00 for late filing of the declaration to Deposit Account No. 50-2401. A duplicate copy of this submission is attached.

Appln. No. 10/824,983
Reply to Notice to File Missing Parts dated June 28, 2004
Submission of Declaration dated July 27, 2004

3. A copy of the Notice of June 28, 2004.

Issuance of a filing receipt and advancement of the application to examination is respectfully requested.

Respectfully submitted,

ANDRUS, SCEALES, STARKE & SAWALL, LLP

Joseph D. Kuborn Reg. No. 40,689

Andrus, Sceales, Starke & Sawall 100 East Wisconsin Avenue Milwaukee, Wisconsin 53202 (414) 271-7590 Docket No. 146462IT (5024-00124)